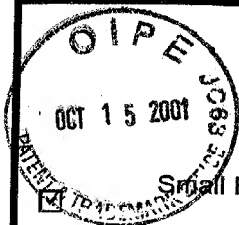


I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

| | | | | | |
|-------------------|-------------|-----------|--|------|------------|
| Name (Print/Type) | Cindy Hoang | Signature |  | Date | 10-11-2001 |
|-------------------|-------------|-----------|--|------|------------|



Small Entity

☐ Large Entity

| | |
|----------------------|----------------|
| Application Number | 09/848,986 |
| Confirmation Number | 8751 |
| Filing Date | May 4, 2001 |
| First Named Inventor | RAZ |
| Examiner | To Be Assigned |
| Group Art | 1645 |
| Attorney Docket No. | UCAL168 |

| | | | | | | | |
|---|--|------------------------|---|---------------------------------|----------------|------|--------|
| ENCLOSED: | | Claims | No. of claims as filed or after amendment | Most claims previously paid for | # Extra Claims | Rate | Totals |
| <input type="checkbox"/> Amendment Under Rule | | Total | | | | | \$ - |
| <input type="checkbox"/> 37 CFR § _____ | | Independent | | | | | \$ - |
| <input type="checkbox"/> Pages _____ | | Multiple | | | | | |
| | | Total Extra Claim Fees | | | | | \$ - |

| | | | | | | |
|-------------------------------------|---|-------------------|----|-------------------|---|---------------|
| <input checked="" type="checkbox"/> | Applicants Petition for an Extension of time from | <u>08-12-2001</u> | to | <u>10-12-2001</u> | <i>A 1 month extension was previously filed and paid for thereby reducing the basic fee</i> | Fee \$ 145.00 |
|-------------------------------------|---|-------------------|----|-------------------|---|---------------|

| | | |
|-------------------------------------|--|------------------------------------|
| <input checked="" type="checkbox"/> | Response to File Missing Parts (with copy of formalities letter) | |
| <input type="checkbox"/> | Filing Fee | Fee _____ |
| <input type="checkbox"/> | Executed Declaration | Pages _____ Surcharge Fee _____ |
| <input checked="" type="checkbox"/> | Other | |
| | <u>Formal Drawings (14 sheets)</u> | Fee _____ |
| | _____ | Fee _____ |
| | _____ | Fee _____ |
| | _____ | Fee _____ |
| | _____ | Fee _____ |
| | | Subtotal \$ - |

| | | |
|---|-------|---------------|
| <input type="checkbox"/> Information Disclosure Statement | | |
| <input type="checkbox"/> PTO Form 1449 | Pages | _____ |
| <input type="checkbox"/> _____ Copies of Cited References | | |
| <input type="checkbox"/> Other _____ | | |
| | | Fee |
| | | Subtotal \$ - |

☐ Response to Notice to Comply (with copy of Notice to Comply)

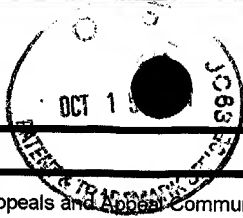
☐ Sequence Listing Certification

☐ Paper Copy of Sequence Listing Pages _____

☐ Diskette in computer-readable format

☐ Other _____

Fee _____



| | | | | |
|---|-------|-------|----------|-------|
| <input type="checkbox"/> Terminal Disclaimer | | | Fee | |
| <input type="checkbox"/> Appeal to Board of Appeals and Appeal Communication to Group | | | | |
| <input type="checkbox"/> Notice of Appeal | Pages | _____ | Fee | _____ |
| <input type="checkbox"/> Appeal Brief in Triplicate | Pages | _____ | Fee | _____ |
| <input type="checkbox"/> Reply Brief | Pages | _____ | Fee | \$ - |
| | | | Subtotal | \$ - |

☒ Other Enclosures and/or Fees 1) Request to Correct Filing Receipt (1 pg.) and 2) Marked-up Copy of Filing Receipt

☐ Change of Correspondence Address

☒ Return Receipt Postcard

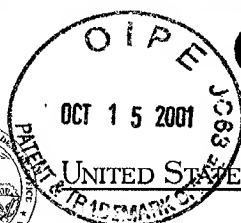
TOTAL FEES \$ 145.00

The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this transmittal is enclosed.

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED

| | | | | | |
|-------------------------|--------------------------------|-------|------------------|---------------------------------|-------|
| Name (Print/Type) | Paula A. Borden | | Registration No. | 42,344 | |
| Signature | | | Date | 10-11-2001 | |
| Firm Name | Bozicevic, Field & Francis LLP | | Address | 200 Middlefield Road, Suite 200 | |
| City | Menlo Park | State | California | zip | 94025 |
| Telephone - Direct Dial | 650-327-3400 | | Facsimile | 650-327-3231 | |

Application No. 09/848,986 Attorney Docket No. UCAL168 Page 2 of 2



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/848,986 | 05/04/2001 | Raz | 6510168US1 |

24353
BOZICEVIC, FIELD & FRANCIS LLP
200 MIDDLEFIELD RD
SUITE 200
MENLO PARK, CA 94025

CONFIRMATION NO. 8751

FORMALITIES LETTER



OC000000006172817

Date Mailed: 06/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

09/848,986-101501